

Expiration Date: August 31, 2016  
Permit Number: 102487  
File Number: 90578  
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**NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM  
WASTE DISCHARGE PERMIT**  
Department of Environmental Quality  
Northwest Region – Portland Office  
2020 SW 4th Ave., Suite 400, Portland, OR 97201  
Telephone: (503) 229-5263

Issued pursuant to ORS 468B.050 and The Federal Clean Water Act

**ISSUED TO:**

Twin Rocks Sanitary District  
PO Box 69  
Rockaway Beach, Oregon 97136

**SOURCES COVERED BY THIS PERMIT:**

Type of Waste	Outfall Number	Outfall Location
Treated Wastewater	001	Pacific Ocean

**FACILITY TYPE AND LOCATION:**

Activated Sludge  
Twin Rocks Wastewater Treatment Facility  
18005 Hwy 101  
Rockaway Beach, Oregon 97136

**RECEIVING STREAM INFORMATION:**


Basin: Northern Oregon Coastal  
Sub-Basin: Wilson-Trask-Nestucca  
Receiving Stream: Pacific Ocean

Treatment System Class: Level II  
Collection System Class: Level I

County: Tillamook  
LLID: 1239400456524 D RM. 53.89

**EPA REFERENCE NO:** OR-002349-3

Permit is issued in response to Application No. 967547, received March 11, 2011.  
This permit is issued based on the land use findings in the permit record.

  
\_\_\_\_\_  
Greg L. Geist, Manager, Water Quality Source Control  
Northwest Region

9/7/11  
\_\_\_\_\_  
Date

11/1/11  
\_\_\_\_\_  
Effective Date

**PERMITTED ACTIVITIES**

Until this permit expires or is modified or revoked, the permittee is authorized to construct, install, modify, or operate a wastewater collection, treatment, control and disposal system and discharge to public waters adequately treated wastewaters only from the authorized discharge point or points established in Schedule-A and only in conformance with all the requirements, limitations, and conditions set forth in the attached schedules as follows:

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Unless specifically authorized by this permit, by another NPDES or WPCF permit, or by Oregon Administrative Rule, any other direct or indirect discharge of waste is prohibited, including discharge to waters of the state or an underground injection control system.

**SCHEDULE-A**

**1. Waste Discharge Limitations not to be exceeded after permit issuance.**

a. Treated Effluent Outfall 001

(1) May 1 - October 31:

Parameter	Average Effluent Concentrations		Monthly* Average lb/day	Weekly* Average lb/day	Daily* Maximum lbs
	Monthly	Weekly			
BOD <sub>5</sub>	30 mg/L	45 mg/L	48	71	95
TSS	30 mg/L	45 mg/L	48	71	95

(2) November 1 - April 30:

Parameter	Average Effluent Concentrations		Monthly* Average lb/day	Weekly* Average lb/day	Daily* Maximum lbs
	Monthly	Weekly			
BOD <sub>5</sub>	30 mg/L	45 mg/L	75	113	150
TSS	30 mg/L	45 mg/L	75	113	150
Chlorine Residual, Daily Maximum			Shall not exceed 0.14 mg/L (Notes 1 & 2).		
Chlorine Residual, Monthly Average			Shall not exceed 0.05 mg/L (Notes 1 & 2).		

\* Average dry weather design flow (ADWF) to the facility equals 0.19 MGD. Mass load limits are based upon ADWF to the facility. Winter mass load limits are based upon average wet weather design flow (AWWF) to the facility equaling 0.30 MGD.

(3) The daily mass load limit is suspended on any day in which the flow to the treatment facility exceeds 0.38 MGD (twice the ADWF), per OAR 340-041-0061(10)(a)(C). On any day in which the flow to the treatment plant exceeds twice the ADWF, the permittee must operate the treatment facility at highest and best practicable and control.

(4)

Other parameters (year-round)	Limitations
Enterococci Bacteria	Shall not exceed a monthly geometric mean of 35 organisms per 100 mL.
Fecal Coliform Bacteria	Shall not exceed a monthly log mean of 126 organisms per 100 mL. Not more than 10 percent of the samples shall exceed 406 organisms per 100 mL.
pH	Shall be within the range of 6.0 - 9.0
BOD <sub>5</sub> and TSS Removal Efficiency	Shall not be less than 85% monthly average for BOD <sub>5</sub> and 85% monthly for TSS.
Total Residual Chlorine	Shall not exceed a daily maximum limit of 0.14 mg/L and an average monthly limit of 0.05 mg/L

- (6) Except as provided for in OAR 340-045-0080, no wastes shall be discharged and no activities shall be conducted which violate Water Quality Standards, as adopted in OAR 340-041; except in the following defined mixing zone:

The allowable mixing zone is that part of the Pacific Ocean within a hundred (100) foot radius measured laterally from the diffuser ports. The zone of immediate dilution (ZID) is a that portion of the allowable mixing zone 10-foot radius from the diffuser ports.

- b. Groundwater Protection: No activities shall be conducted that could cause an adverse impact on existing or potential beneficial uses of groundwater. All wastewater and process related residuals shall be managed and disposed in a manner that will prevent a violation of the Groundwater Quality Protection Rules (OAR 340-040).

**NOTES:**

1. The chlorine residual monthly average must be calculated using all daily maximum chlorine residual readings for the month.
2. If a single sample exceeds 35 organisms per 100 mL, then five consecutive re-samples may be taken at four-hour intervals beginning within 28 hours after the original sample was taken. If the log mean of the five re-samples is less than or equal to 35 organisms per 100 mL, a violation shall not be triggered.

**SCHEDULE-B**

**1. Minimum Monitoring and Reporting Requirements**

The permittee shall monitor the parameters as specified below at the locations indicated. The laboratory used by the permittee to analyze samples shall have a quality assurance/quality control (QA/QC) program to verify the accuracy of sample analysis. If QA/QC requirements are not met for any analysis, the results shall be included in the report, but not used in calculations required by this permit. When possible, the permittee shall re-sample in a timely manner for parameters failing the QA/QC requirements, analyze the samples, and report the results.

a. Influent

Influent grab samples and measurements, and composite samples are taken at the headworks.

Item or Parameter (year-around)	Minimum Frequency	Type of Sample
Total Flow (MGD)	Daily	Measurement
Flow Meter Calibration	Annually	Verification (Note 1)
BOD <sub>5</sub> Concentration	Weekly	24-hour Composite sample
TSS Concentration	Weekly	24-hour Composite sample
pH	2 days/Week	Grab sample

b. Treated Effluent Outfall 001

The facility effluent sampling locations are the following:

Effluent grab samples for bacteria are to be taken after disinfection. Total chlorine is taken after dechlorination. Composite samples are taken prior to discharge.

Item or Parameter (Year-around)	Minimum Frequency	Type of Sample
Total Flow (MGD)	Daily	Measurement
Flow Meter Calibration	Annually	Verification (Note 1)
BOD <sub>5</sub> Concentration	Weekly	24-hour Composite sample
TSS Concentration	Weekly	24-hour Composite sample
pH	2 days/Week	Grab sample
Enterococci	Weekly	Grab sample
Fecal Coliform	Weekly	Grab sample
Quantity Chlorine Used	Daily	Measurement
Chlorine Residual Concentration	Daily Maximum	Grab and Record (Note 2)
Chlorine Residual Concentration	Monthly Average	Calculation using all daily data for month
BOD <sub>5</sub> & TSS Pounds Discharged	Weekly	Calculation
Percentage Removal (BOD <sub>5</sub> & TSS)	Monthly Average	Calculation
Effluent Temperature	Same as pH	Grab sample between 3 and 4 p.m.
Ammonia as N	Once every two weeks (6/1 – 10/31)	Composite

c. Biosolids Management

Item or Parameter	Minimum Frequency	Type of Sample
Sludge Depth in Cell #1	Annually	Representative Measurement
Sludge Depth in Cell #2	Annually	Representative Measurement

2. **Discharge Monitoring Report (DMR) Procedures**

- a. Monitoring results must be reported on approved forms. The reporting period is the calendar month. Reports must be submitted to the Department's Northwest Region - Portland office by the 15th day of the following month.
- b. State monitoring reports must identify the name, certificate classification and grade level of each principal operator designated by the permittee as responsible for supervising the wastewater collection and treatment systems during the reporting period. Monitoring reports must also identify each system classification as found on page one of this permit.
- c. Monitoring reports must include a record of the quantity and method of use of all sewage sludge removed from the treatment facility; and must record all applicable equipment breakdowns and bypassing.

3. **Biosolids Report Submittal**

Biosolids Report: For any year in which biosolids are land applied, a report shall be submitted to the Department by February 19 of the following year that describes biosolids handling activities for the previous year and includes, but is not limited to, the required information outlined in OAR 340-050-0035(6)(a)-(e).

4. **Inflow and Infiltration Report Submittal**

- a. The permittee shall have in place a program to identify and reduce Inflow and Infiltration (I&I) into the sewage collection system. An annual report shall be submitted to the Department by February 19 each year which details sewer collection maintenance activities that reduce I&I. The report shall state those activities that have been done in the previous year and those activities planned for the following year.

**NOTES:**

1. **Flow Meter Calibration**. The annual flow meter calibration date must be listed in the treatment facility log book, and be available for DEQ review during site inspections.
2. **Daily Chlorine Grab Sample**. The daily chlorine residual grab sample shall be used to verify the accuracy of the continuous record (electronically via SCADA) for chlorine residual before and after dechlorination. Should recalibration be required, the adjustment(s) must be noted with time and date on the continuous record and in the Daily Monitoring Report. The daily maximum chlorine residual datum must be taken from the

continuous record for the past 24-hour interval (0000 to 2400). An hourly average for chlorine residual will be used, i.e. the highest daily hourly average shall be listed on the Discharge Monitoring Report (DMR) as the maximum daily chlorine residual.

3. **Biosolids Sampling.** Biosolids composite samples from the storage lagoon or pond shall be taken from reference areas in the storage lagoon or pond pursuant to Test Methods for Evaluating Solid Waste, Volume 2; Field Manual, Physical/Chemical Methods, November 1986, Third Edition, Chapter 9.
4. **Inorganic Pollutant Monitoring.** Inorganic pollutant monitoring must be conducted according to Test Methods for Evaluating Solid Waste, Physical/Chemical Methods, Second Edition (1982) with Updates I and II and Third Edition (1986) with Revision I.
5. **Volatile Solids Reduction.** Calculation of the % volatile solids reduction is to be based on comparison of a representative grab sample of total and volatile solids entering each digester (a weighted blend of the primary and secondary clarifier solids) and a representative composite sample of solids exiting each digester withdrawal line.
6. **Fecal Coliform Sampling.** Analyze and report a fecal coliform result for each sample separately. Calculate and report the 30-day log mean of all the samples.
7. **Continuous Temperature Monitors.** If continuous temperature monitors are used for influent and/or for effluent monitoring, the devices must be audited in **June and September**, following procedures described in **DEQ Procedural Guidance for Water Temperature Monitoring**. Audit dates shall be listed in the facility log.
8. **Continuous Temperature Monitors.** If continuous temperature monitors are used, the monitors are to be checked visually **monthly** to ensure that the devices are still in place and submerged. Inspection dates shall be listed in the facility log.

**SCHEDULE-C**

Compliance Schedules and Conditions

There are no compliance schedules and conditions within this permit.



## SCHEDULE-D

### Special Conditions

1. **Biosolids Management.** All biosolids shall be managed in accordance with the current, DEQ approved Biosolids Management Plan, and the site authorization letters issued by the DEQ. Any changes in biosolids management activities that significantly differ from operations specified under the approved plan require the prior written approval of the DEQ.

All new biosolids application sites shall meet the site selection criteria set forth in OAR 340-050-0070 and must be located within DEQ approved application sites in Clackamas County. No new Public Notice is required for continued use of the currently approved sites; however, a copy of the latest approved Biosolids Management Plan is included for review with renewal permit documentation during the Public Comment Period. Property owners adjacent to any newly approved application sites shall be notified, in writing or by any method approved by DEQ, of the proposed activity prior to the start of application. Proposed new application sites that are deemed by the DEQ to be sensitive with respect to residential housing, runoff potential, or threat to groundwater are subject to public comment in accordance with OAR 340-050-0030.

2. **Changes in Biosolids Standards.** This permit may be modified to incorporate any applicable standard for biosolids use or disposal promulgated under Section 405(d) of the Clean Water Act, if the standard for biosolids use or disposal is more stringent than any requirements for biosolids use or disposal in the permit, or controls a pollutant or practice not limited in this permit.
3. **Operator Certification.** The permittee shall comply with Oregon Administrative Rules (OAR), Chapter 340, Division 049, "Regulations Pertaining To Certification of Wastewater System Operator Personnel" and accordingly:
  - a. The permittee shall have its wastewater system supervised by one or more operators who are certified in a classification and grade level (equal to or greater) that corresponds with the classification (collection and/or treatment) of the system to be supervised as specified on page one of this permit.

**Note:** A "supervisor" is defined as the person exercising authority for establishing and executing the specific practice and procedures of operating the system in accordance with the policies of the permittee and requirements of the waste discharge permit. "Supervise" means responsible for the technical operation of a system, which may affect its performance or the quality of the effluent produced. Supervisors are not required to be on-site at all times.
  - b. The permittee's wastewater system may not be without supervision (as required by Special Condition-4.a. above) for more than thirty (30) days. During this period, and at any time that the supervisor is not available to respond on-site (i.e. vacation, sick leave or off-call), the permittee must make available another person who is certified at no less than one grade lower than the system classification.
  - c. If the wastewater system has more than one daily shift, the permittee shall have the shift supervisor, if any, certified at no less than one grade lower than the system classification.
  - d. The permittee is responsible for ensuring the wastewater system has a properly certified supervisor available at all times to respond on-site at the request of the permittee and to any other operator.

- e. The permittee shall notify the Department of Environmental Quality in writing within thirty (30) days of replacement or redesignation of certified operators responsible for supervising wastewater system operation. The notice shall be filed with the Water Quality Division, Operator Certification Program, Northwest Region, 2020 SW 4th Avenue, Suite 400, Portland, OR 97201. This requirement is in addition to the reporting requirements contained under Schedule-B of this permit.
  - f. Upon written request, the Department may grant the permittee reasonable time, not to exceed 120 days, to obtain the services of a qualified person to supervise the wastewater system. The written request must include: (1) Justification for the time needed, (2) A schedule for recruiting and hiring, (3) The date the system supervisor's availability ceased, and (4) The name of the alternate system supervisor(s) as required by 4.b. above.
4. **Groundwater.** The permittee shall not be required to perform a hydro-geologic characterization or groundwater monitoring during the term of this permit provided:
- a. The facilities are operated in accordance with the permit conditions; and
  - b. There are no adverse groundwater quality impacts (complaints or other indirect evidence) resulting from the facility's operation.

If warranted at permit renewal, the Department may evaluate the need for a full assessment of the facilities impact on groundwater quality.

5. **Notification.** The permittee shall notify the DEQ Northwest Region - Portland Office (phone: (503) 229-5263) in accordance with the response times noted in the General Conditions (Schedule-F) of this permit, of any malfunction so that corrective action can be coordinated between the permittee and the Department.
6. **Emergency Response and Public Notification Plan.** Permittee is required to develop and maintain an Emergency Response and Public Notification Plan (the Plan) per Schedule-F, Section-B, 7 & 8. Permittee must annually update its Plan to ensure that telephone and email contact lists for applicable public agencies, including Clackamas River Water Providers (CRWPs) are current and accurate. An updated copy of the Plan must be kept on file at the wastewater treatment facility for Department review. The latest Plan revision date must be listed on the Plan cover along with the reviewer's initials or signature.

**SCHEDULE-E**

***NOT APPLICABLE***

**(Pretreatment is not required)**

**SCHEDULE-F**  
**NPDES GENERAL CONDITIONS – DOMESTIC FACILITIES**

*(Schedule-F, last update 9.18.2009)*

**SECTION-A, STANDARD CONDITIONS**

1. Duty to Comply with Permit

The permittee must comply with all conditions of this permit. Failure to comply with any permit condition is a violation of Oregon Revised Statutes (ORS) 468B.025 and the federal Clean Water Act and is grounds for an enforcement action. Failure to comply is also grounds for the Department to terminate, modify and reissue, revoke, or deny renewal of a permit.

2. Penalties for Water Pollution and Permit Condition Violations

The permit is enforceable by DEQ or EPA, and in some circumstances also by third-parties under the citizen suit provisions 33 USC §1365. DEQ enforcement is generally based on provisions of state statutes and EQC rules, and EPA enforcement is generally based on provisions of federal statutes and EPA regulations.

ORS 468.140 allows the Department to impose civil penalties up to \$10,000 per day for violation of a term, condition or requirement of a permit. The federal Clean Water Act provides for civil penalties not to exceed \$32,500 and administrative penalties not to exceed \$11,000 per day for each violation of any condition or limitation of this permit.

Under ORS 468.943, unlawful water pollution, if committed by a person with criminal negligence, is punishable by a fine of up to \$25,000, imprisonment for not more than one year, or both. Each day on which a violation occurs or continues is a separately punishable offense. The federal Clean Water Act provides for criminal penalties of not more than \$50,000 per day of violation, or imprisonment of not more than 2 years, or both for second or subsequent negligent violations of this permit.

Under ORS 468.946, a person who knowingly discharges, places, or causes to be placed any waste into the waters of the state or in a location where the waste is likely to escape into the waters of the state is subject to a Class B felony punishable by a fine not to exceed \$200,000 and up to 10 years in prison. The federal Clean Water Act provides for criminal penalties of \$5,000 to \$50,000 per day of violation, or imprisonment of not more than 3 years, or both for knowing violations of the permit. In the case of a second or subsequent conviction for knowing violation, a person shall be subject to criminal penalties of not more than \$100,000 per day of violation, or imprisonment of not more than 6 years, or both.

3. Duty to Mitigate

The permittee must take all reasonable steps to minimize or prevent any discharge or sludge use or disposal in violation of this permit that has a reasonable likelihood of adversely affecting human health or the environment. In addition, upon request of the Department, the permittee must correct any adverse impact on the environment or human health resulting from noncompliance with this permit, including such accelerated or additional monitoring as necessary to determine the nature and impact of the noncomplying discharge.

4. Duty to Reapply

If the permittee wishes to continue an activity regulated by this permit after the expiration date of this permit, the permittee must apply for and have the permit renewed. The application must be submitted at least 180 days before the expiration date of this permit.

The Department may grant permission to submit an application less than 180 days in advance but no later than the permit expiration date.

5. Permit Actions

This permit may be modified, revoked and reissued, or terminated for cause including, but not limited to, the following:

- a. Violation of any term, condition, or requirement of this permit, a rule, or a statute;
- b. Obtaining this permit by misrepresentation or failure to disclose fully all material facts;
- c. A change in any condition that requires either a temporary or permanent reduction or elimination of the authorized discharge;
- d. The permittee is identified as a Designated Management Agency or allocated a wasteload under a Total Maximum Daily Load (TMDL);
- e. New information or regulations;
- f. Modification of compliance schedules;
- g. Requirements of permit reopener conditions;
- h. Correction of technical mistakes made in determining permit conditions;
- i. Determination that the permitted activity endangers human health or the environment;
- j. Other causes as specified in 40 CFR 122.62, 122.64, and 124.5;
- k. For communities with combined sewer overflows (CSOs);
  - (1) To comply with any state or federal law regulation that addresses CSOs that is adopted or promulgated subsequent to the effective date of this permit;
  - (2) If new information, not available at the time of permit issuance, indicates that CSO controls imposed under this permit have failed to ensure attainment of water quality standards, including protection of designated uses;
  - (3) Resulting from implementation of the Permittee's Long-Term Control Plan and/or permit conditions related to CSOs.

The filing of a request by the permittee for a permit modification, revocation or reissuance, termination, or a notification of planned changes or anticipated noncompliance, does not stay any permit condition.

6. Toxic Pollutants

The permittee must comply with any applicable effluent standards or prohibitions established under Oregon Administrative Rules (OAR) 340-041-0033 and 307(a) of the federal Clean Water Act for toxic pollutants, and with standards for sewage sludge use or disposal established under Section 405(d) of the Clean Water Act, within the time provided in the regulations that establish those standards or prohibitions, even if the permit has not yet been modified to incorporate the requirement.

7. Property Rights and Other Legal Requirements

The issuance of this permit does not convey any property rights of any sort, or any exclusive privilege, or authorize any injury to persons or property or invasion of any other private rights, or any infringement of federal, tribal, state, or local laws or regulations.

8. Permit References

Except for effluent standards or prohibitions established under Section 307(a) of the federal Clean Water Act and OAR 340-041-0033 for toxic pollutants, and standards for sewage sludge use or disposal

established under Section 405(d) of the Clean Water Act, all rules and statutes referred to in this permit are those in effect on the date this permit is issued.

9. Permit Fees  
The permittee must pay the fees required by Oregon Administrative Rules.

## **SECTION-B, OPERATION AND MAINTENANCE OF POLLUTION CONTROLS**

1. Proper Operation and Maintenance  
The permittee must at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) that are installed or used by the permittee to achieve compliance with the conditions of this permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back-up or auxiliary facilities or similar systems that are installed by a permittee only when the operation is necessary to achieve compliance with the conditions of the permit.
2. Need to Halt or Reduce Activity Not a Defense  
For industrial or commercial facilities, upon reduction, loss, or failure of the treatment facility, the permittee must, to the extent necessary to maintain compliance with its permit, control production or all discharges or both until the facility is restored or an alternative method of treatment is provided. This requirement applies, for example, when the primary source of power of the treatment facility fails or is reduced or lost. It is not a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit.
3. Bypass of Treatment Facilities
- a. Definitions
- (1) "Bypass" means intentional diversion of waste streams from any portion of the treatment facility. The permittee may allow any bypass to occur which does not cause effluent limitations to be exceeded, provided the diversion is to allow essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of paragraphs b. and c. of this section.
- (2) "Severe property damage" means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources that can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.
- b. Prohibition of bypass.
- (1) Bypass is prohibited and the Department may take enforcement action against a permittee for bypass unless:
- i. Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;
- ii. There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate backup equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass that occurred during normal periods of equipment downtime or preventative maintenance; and
- iii. The permittee submitted notices and requests as required under General Condition B.3.c.
- (2) The Department may approve an anticipated bypass, after considering its adverse effects and any alternatives to bypassing, when the Department determines that it will meet the three conditions listed above in General Condition B.3.b.(1).
- c. Notice and request for bypass.

- (1) Anticipated bypass. If the permittee knows in advance of the need for a bypass, a written notice must be submitted to the Department at least ten days before the date of the bypass.
- (2) Unanticipated bypass. The permittee must submit notice of an unanticipated bypass as required in General Condition D.5.

4. Upset

- a. Definition. "Upset" means an exceptional incident in which there is unintentional and temporary noncompliance with technology based permit effluent limitations because of factors beyond the reasonable control of the permittee. An upset does not include noncompliance to the extent caused by operation error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventative maintenance, or careless or improper operation.
- b. Effect of an upset. An upset constitutes an affirmative defense to an action brought for noncompliance with such technology-based permit effluent limitations if the requirements of General Condition B.4.c are met. No determination made during administrative review of claims that noncompliance was caused by upset, and before an action for noncompliance, is final administrative action subject to judicial review.
- c. Conditions necessary for a demonstration of upset. A permittee who wishes to establish the affirmative defense of upset must demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence that:
  - (1) An upset occurred and that the permittee can identify the causes(s) of the upset;
  - (2) The permitted facility was at the time being properly operated;
  - (3) The permittee submitted notice of the upset as required in General Condition D.5, hereof (24-hour notice); and
  - (4) The permittee complied with any remedial measures required under General Condition A.3 hereof.
- d. Burden of proof. In any enforcement proceeding the permittee seeking to establish the occurrence of an upset has the burden of proof.

5. Treatment of Single Operational Upset

For purposes of this permit, A Single Operational Upset that leads to simultaneous violations of more than one pollutant parameter will be treated as a single violation. A single operational upset is an exceptional incident that causes simultaneous, unintentional, unknowing (not the result of a knowing act or omission), temporary noncompliance with more than one Clean Water Act effluent discharge pollutant parameter. A single operational upset does not include Clean Water Act violations involving discharge without a NPDES permit or noncompliance to the extent caused by improperly designed or inadequate treatment facilities. Each day of a single operational upset is a violation.

6. Overflows from Wastewater Conveyance Systems and Associated Pump Stations

- a. Definitions
  - (1) "Overflow" means any spill, release or diversion of sewage including:
    - i. An overflow that results in a discharge to waters of the United States; and
    - ii. An overflow of wastewater, including a wastewater backup into a building (other than a backup caused solely by a blockage or other malfunction in a privately owned sewer or building lateral), even if that overflow does not reach waters of the United States.
- b. Prohibition of overflows. Overflows are prohibited. The Department may exercise enforcement discretion regarding overflow events. In exercising its enforcement discretion, the Department may consider various factors, including the adequacy of the conveyance system's capacity and the magnitude, duration and return frequency of storm events.

- c. Reporting required. All overflows must be reported orally to the Department within 24 hours from the time the permittee becomes aware of the overflow. Reporting procedures are described in more detail in General Condition D.5.

7. Public Notification of Effluent Violation or Overflow

If effluent limitations specified in this permit are exceeded or an overflow occurs that threatens public health, the permittee must take such steps as are necessary to alert the public, health agencies and other affected entities (e.g., public water systems) about the extent and nature of the discharge in accordance with the notification procedures developed under General Condition B.8. Such steps may include, but are not limited to, posting of the river at access points and other places, news releases, and paid announcements on radio and television.

8. Emergency Response and Public Notification Plan

The permittee must develop and implement an emergency response and public notification plan that identifies measures to protect public health from overflows, bypasses or upsets that may endanger public health. At a minimum the plan must include mechanisms to:

- a. Ensure that the permittee is aware (to the greatest extent possible) of such events;
- b. Ensure notification of appropriate personnel and ensure that they are immediately dispatched for investigation and response;
- c. Ensure immediate notification to the public, health agencies, and other affected public entities (including public water systems). The overflow response plan must identify the public health and other officials who will receive immediate notification;
- d. Ensure that appropriate personnel are aware of and follow the plan and are appropriately trained;
- e. Provide emergency operations; and
- f. Ensure that DEQ is notified of the public notification steps taken.

9. Removed Substances

Solids, sludges, filter backwash, or other pollutants removed in the course of treatment or control of wastewaters must be disposed of in such a manner as to prevent any pollutant from such materials from entering waters of the state, causing nuisance conditions, or creating a public health hazard.

**SECTION-C, MONITORING AND RECORDS**

1. Representative Sampling

Sampling and measurements taken as required herein shall be representative of the volume and nature of the monitored discharge. All samples must be taken at the monitoring points specified in this permit, and shall be taken, unless otherwise specified, before the effluent joins or is diluted by any other waste stream, body of water, or substance. Monitoring points may not be changed without notification to and the approval of the Department.

2. Flow Measurements

Appropriate flow measurement devices and methods consistent with accepted scientific practices must be selected and used to ensure the accuracy and reliability of measurements of the volume of monitored discharges. The devices must be installed, calibrated and maintained to insure that the accuracy of the measurements is consistent with the accepted capability of that type of device. Devices selected must be capable of measuring flows with a maximum deviation of less than  $\pm 10$  percent from true discharge rates throughout the range of expected discharge volumes.



3. Monitoring Procedures

Monitoring must be conducted according to test procedures approved under 40 CFR part 136, or in the case of sludge use and disposal, under 40 CFR part 503, unless other test procedures have been specified in this permit.

4. Penalties of Tampering

The Clean Water Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate any monitoring device or method required to be maintained under this permit may, upon conviction, be punished by a fine of not more than \$10,000 per violation, imprisonment for not more than two years, or both. If a conviction of a person is for a violation committed after a first conviction of such person, punishment is a fine not more than \$20,000 per day of violation, or by imprisonment of not more than four years, or both.

5. Reporting of Monitoring Results

Monitoring results must be summarized each month on a Discharge Monitoring Report form approved by the Department. The reports must be submitted monthly and are to be mailed, delivered or otherwise transmitted by the 15th day of the following month unless specifically approved otherwise in Schedule B of this permit.

6. Additional Monitoring by the Permittee

If the permittee monitors any pollutant more frequently than required by this permit, using test procedures approved under 40 CFR part 136, or in the case of sludge use and disposal, under 40 CFR part 503, or as specified in this permit, the results of this monitoring must be included in the calculation and reporting of the data submitted in the Discharge Monitoring Report. Such increased frequency must also be indicated. For a pollutant parameter that may be sampled more than once per day (e.g., Total Chlorine Residual), only the average daily value must be recorded unless otherwise specified in this permit.

7. Averaging of Measurements

Calculations for all limitations that require averaging of measurements must utilize an arithmetic mean, except for bacteria which shall be averaged as specified in this permit.

8. Retention of Records

Records of monitoring information required by this permit related to the permittee's sewage sludge use and disposal activities shall be retained for a period of at least five years (or longer as required by 40 CFR part 503). Records of all monitoring information including all calibration and maintenance records, all original strip chart recordings for continuous monitoring instrumentation, copies of all reports required by this permit and records of all data used to complete the application for this permit shall be retained for a period of at least 3 years from the date of the sample, measurement, report, or application. This period may be extended by request of the Department at any time.

9. Records Contents

Records of monitoring information must include:

- a. The date, exact place, time, and methods of sampling or measurements;
- b. The individual(s) who performed the sampling or measurements;
- c. The date(s) analyses were performed;
- d. The individual(s) who performed the analyses;
- e. The analytical techniques or methods used; and
- f. The results of such analyses.

10. Inspection and Entry

The permittee must allow the Department or EPA upon the presentation of credentials to:

- a. Enter upon the permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this permit;
- b. Have access to and copy, at reasonable times, any records that must be kept under the conditions of this permit;
- c. Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this permit, and
- d. Sample or monitor at reasonable times, for the purpose of assuring permit compliance or as otherwise authorized by state law, any substances or parameters at any location.

11. Confidentiality of Information

Any information relating to this permit that is submitted to or obtained by DEQ is available to the public unless classified as confidential by the Director of DEQ under ORS 468.095. The Permittee may request that information be classified as confidential if it is a trade secret as defined by that statute. The name and address of the permittee, permit applications, permits, effluent data, and information required by NPDES application forms under 40 CFR 122.21 will not be classified as confidential (40 CFR 122.7(b)).

**SECTION-D, REPORTING REQUIREMENTS**

1. Planned Changes

The permittee must comply with OAR chapter 340, division 52, "Review of Plans and Specifications" and 40 CFR Section 122.41(I) (1). Except where exempted under OAR chapter 340, division 52, no construction, installation, or modification involving disposal systems, treatment works, sewerage systems, or common sewers may be commenced until the plans and specifications are submitted to and approved by the Department. The permittee must give notice to the Department as soon as possible of any planned physical alternations or additions to the permitted facility.

2. Anticipated Noncompliance

The permittee must give advance notice to the Department of any planned changes in the permitted facility or activity that may result in noncompliance with permit requirements.

3. Transfers

This permit may be transferred to a new permittee provided the transferee acquires a property interest in the permitted activity and agrees in writing to fully comply with all the terms and conditions of the permit and the rules of the Commission. No permit may be transferred to a third party without prior written approval from the Department. The Department may require modification, revocation, and reissuance of the permit to change the name of the permittee and incorporate such other requirements as may be necessary under 40 CFR Section 122.61. The permittee must notify the Department when a transfer of property interest takes place.

4. Compliance Schedule

Reports of compliance or noncompliance with, or any progress reports on interim and final requirements contained in any compliance schedule of this permit must be submitted no later than 14 days following each schedule date. Any reports of noncompliance must include the cause of noncompliance, any remedial actions taken, and the probability of meeting the next scheduled requirements.

5. Twenty-Four Hour Reporting

The permittee must report any noncompliance that may endanger health or the environment. Any information must be provided orally (by telephone) to DEQ or to the Oregon Emergency Response System (1-800-452-0311) as specified below within 24 hours from the time the permittee becomes aware of the circumstances.

a. Overflows.

(1) Oral Reporting within 24 hours.

i. For overflows other than basement backups, the following information must be reported to the Oregon Emergency Response System (OERS) at 1-800-452-0311. For basement backups, this information should be reported directly to DEQ.

- a) The location of the overflow;
- b) The receiving water (if there is one);
- c) An estimate of the volume of the overflow;
- d) A description of the sewer system component from which the release occurred (e.g., manhole, constructed overflow pipe, crack in pipe); and
- e) The estimated date and time when the overflow began and stopped or will be stopped.

ii. The following information must be reported to the Department's Regional office within 24 hours, or during normal business hours, whichever is first:

- a) The OERS incident number (if applicable) along with a brief description of the event.

(2) Written reporting within 5 days.

i. The following information must be provided in writing to the Department's Regional office within 5 days of the time the permittee becomes aware of the overflow:

- a) The OERS incident number (if applicable);
- b) The cause or suspected cause of the overflow;
- c) Steps taken or planned to reduce, eliminate, and prevent reoccurrence of the overflow and a schedule of major milestones for those steps;
- d) Steps taken or planned to mitigate the impact(s) of the overflow and a schedule of major milestones for those steps; and
- e) (for storm-related overflows) The rainfall intensity (inches/hour) and duration of the storm associated with the overflow.

The Department may waive the written report on a case-by-case basis if the oral report has been received within 24 hours.

b. Other instances of noncompliance.

(1) The following instances of noncompliance must be reported:

- i. Any unanticipated bypass that exceeds any effluent limitation in this permit;
- ii. Any upset that exceeds any effluent limitation in this permit;
- iii. Violation of maximum daily discharge limitation for any of the pollutants listed by the Department in this permit; and
- iv. Any noncompliance that may endanger human health or the environment.

(2) During normal business hours, the Department's Regional office must be called. Outside of normal business hours, the Department must be contacted at **1-800-452-0311** (Oregon Emergency Response System).

(3) A written submission must be provided within 5 days of the time the permittee becomes aware of the circumstances. The written submission must contain:

- i. A description of the noncompliance and its cause;
- ii. The period of noncompliance, including exact dates and times;
- iii. The estimated time noncompliance is expected to continue if it has not been corrected;

- iv. Steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance; and
  - v. Public notification steps taken, pursuant to General Condition B.7
- (4) The Department may waive the written report on a case-by-case basis if the oral report has been received within 24 hours.

6. Other Noncompliance

The permittee must report all instances of noncompliance not reported under General Condition D.4 or D.5, at the time monitoring reports are submitted. The reports must contain:

- a. A description of the noncompliance and its cause;
- b. The period of noncompliance, including exact dates and times;
- c. The estimated time noncompliance is expected to continue if it has not been corrected; and
- d. Steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance.

7. Duty to Provide Information

The permittee must furnish to the Department within a reasonable time any information that the Department may request to determine compliance with the permit or to determine whether cause exists for modifying, revoking and reissuing, or terminating this permit. The permittee must also furnish to the Department, upon request, copies of records required to be kept by this permit.

Other Information: When the permittee becomes aware that it has failed to submit any relevant facts or has submitted incorrect information in a permit application or any report to the Department, it must promptly submit such facts or information.

8. Signatory Requirements

All applications, reports or information submitted to the Department must be signed and certified in accordance with 40 CFR Section 122.22.

9. Falsification of Information

Under ORS 468.953, any person who knowingly makes any false statement, representation, or certification in any record or other document submitted or required to be maintained under this permit, including monitoring reports or reports of compliance or noncompliance, is subject to a Class C felony punishable by a fine not to exceed \$100,000 per violation and up to 5 years in prison. Additionally, according to 40 CFR 122.41(k)(2), any person who knowingly makes any false statement, representation, or certification in any record or other document submitted or required to be maintained under this permit including monitoring reports or reports of compliance or non-compliance shall, upon conviction, be punished by a federal civil penalty not to exceed \$10,000 per violation, or by imprisonment for not more than 6 months per violation, or by both.

10. Changes to Indirect Dischargers

The permittee must provide adequate notice to the Department of the following:

- a. Any new introduction of pollutants into the POTW from an indirect discharger which would be subject to section 301 or 306 of the Clean Water Act if it were directly discharging those pollutants and;
- b. Any substantial change in the volume or character of pollutants being introduced into the POTW by a source introducing pollutants into the POTW at the time of issuance of the permit.
- c. For the purposes of this paragraph, adequate notice shall include information on (i) the quality and quantity of effluent introduced into the POTW, and (ii) any anticipated impact of the change on the quantity or quality of effluent to be discharged from the POTW.

**SECTION-E, DEFINITIONS**

1. *BOD* means five-day biochemical oxygen demand.
2. *CBOD* means five day carbonaceous biochemical oxygen demand
3. *TSS* means total suspended solids.
4. "*Bacteria*" includes but is not limited to fecal coliform bacteria, total coliform bacteria, and *E. coli* bacteria.
5. *FC* means fecal coliform bacteria.
6. *Total residual chlorine* means combined chlorine forms plus free residual chlorine
7. *Technology based permit effluent limitations* means technology-based treatment requirements as defined in 40 CFR Section 125.3, and concentration and mass load effluent limitations that are based on minimum design criteria specified in OAR Chapter 340, Division 41.
8. *mg/l* means milligrams per liter.
9. *kg* means kilograms.
10. *m<sup>3</sup>/d* means cubic meters per day.
11. *MGD* means million gallons per day.
12. *24-hour Composite sample* means a sample formed by collecting and mixing discrete samples taken periodically and based on time or flow. The sample must be collected and stored in accordance with 40 CFR Part 136.
13. *Grab sample* means an individual discrete sample collected over a period of time not to exceed 15 minutes.
14. *Quarter* means January through March, April through June, July through September, or October through December.
15. *Month* means calendar month.
16. *Week* means a calendar week of Sunday through Saturday.
17. *POTW* means a publicly owned treatment works.

*(Schedule-F, last update 9.18.2009)*

