



Oregon Department of Environmental Quality
Clean Water State Revolving Fund Program
Planning Loan Application

1. _____
Public Agency/Legal Applicant

Address

City, State Zip Code (+4)

Telephone Fax

Email Address

2. _____
Contact Person

Telephone Fax

Email Address

3. _____
Consulting Engineer

Mailing Address

City, State Zip Code (+4)

Phone

Email Address

4. **CWSRF loan request: \$** _____

7. If this planning effort is expected to recommend a future wastewater effluent discharge, provide the name of the waterbody receiving that discharge and the estimated location of the discharge point.

8. If a future wastewater effluent will be discharged to somewhere other than to a surface waterbody, describe that discharge or application of effluent.

9. If this planning effort is associated with a nonpoint source type of pollution, provide the name of the waterbody with the potential of being impacted.

10. Is the waterbody associated with this proposed project identified within DEQ's Integrated Report database of impaired waters? Yes No

To preview the list of water quality limited waterbodies visit:

<http://www.deq.state.or.us/wq/assessment/assessment.htm>

Select the appropriate search criteria for:

- Watershed
- Waterbody
- Parameters
- Limiting status (choose "Water Quality Limit - All")

11. Is this waterbody a listed habitat for either an Oregon or federally identified threatened or endangered species? Yes No

If yes, list that species:

12. If the waterbody associated with this planning effort qualifies as a DEQ "Special Status" waterbody, indicate which category it qualifies through:
(See the appendix for a description of CWSRF "Special Status" water bodies.)

- Federally-designated Wild and Scenic Rivers
- State Scenic Waterways
- Federally-designated Sole Source Aquifers
- Lower Columbia river Estuary (National Estuary Program designated)
- Federally-designated Tillamook Bay (National Estuary Program designated)
- Three Basin Rule Subbasins (Clackamas, North Santiam and McKenzie rivers)
- Significant Wetlands or riparian areas (identified by local governments and in local planning documents)

13. If relevant, describe any existing wastewater facilities associated with this planning effort:

Provide the NPDES or WPCF permit number (s):
(Attach Schedule A from the permit(s) as **Attachment B.**)

14. Is this planning effort intended to address a water quality violation or an enforcement action? Yes No

(Check all that apply below and attach copies, as **Attachment C**, of documentation of noncompliance or enforcement action)

- Court orders
- Environmental Quality Commission orders (EQC)
- Other departmental orders
- Mutual Agreements and Orders (MAO)
- Permit conditions
- EQC rules requiring elimination of a specific water quality problem
- Noncompliance with the department's statutes, rules or water quality standards
- An approved Total Maximum Daily Load (TMDL)
- Stormwater Management Plan
- Existing potential water quality problem otherwise noted by the department
- Documented health hazards with associated documented water quality problem
- Documented health hazards without documented water quality problem
- Other (describe):

15. Will this planning effort address a documented, noncompliance issue related to: Yes No
- a) Water quality standards
 - b) Public health, or
 - c) A permitted effluent limitation for a surface water

If yes, describe below how this noncompliance issue will be addressed.

Attach a copy of any documentation of the above noncompliance as **Attachment D**, or indicate where documentation can be found within DEQ records.

16. Will this planning effort address a documented noncompliance issue related to: Yes No
- a) Minimum protection requirements established in OAR 340-040-0030, or
 - b) A public health issue related to groundwater

If yes, describe below how this noncompliance issue will be addressed:

Attach a copy of any documentation of the above noncompliance as **Attachment D**, or identify where documentation can be found within DEQ records.

17. If the applicant is not out of compliance, explain how this planning effort will support maintaining compliance.

18. Is the planning effort setting out to increase wastewater reuse or water conservation? Yes No

If yes, explain the goals and benefits of wastewater reuse or water conservation in this situation.

19. Is this specific planning activity intended to support the implementation of a TMDL or an Action Plan for a Ground Water Management Area? Yes No

If yes, explain in detail, how this planning activity supports the implementation of either of these.

20. Is the planning effort setting out to take advantage of a unique opportunity with respect to project timing, finances, partnerships, etc.? Explain.

21. Planning Schedule

_____ *Estimated loan signing date (month/year)*
 _____ *Estimated planning start date (month/year)*
 _____ *Estimated planning completion date (month/year)*

22. Planning Effort Costs and Funding

Table A: Project Budget	Total Project Budget	CWSRF Loan Funded Costs
Administration and Legal		
Contingency		
Preliminary Expense		
Basic Engineering		
Other Engineering		
Other Expenses		
Total		

22. Planning Effort Costs and Funding (cont.)

Table B: Finding Sources	Funding
DEQ Clean Water State Revolving Fund (CWSRF) Loan	
IFA Community Development Block Grant (CDBG)	
IFA Water/Wastewater Grant and/or Loan	
IFA Special Public Works Grant and/or Loan	
USDA/Rural Development (RD) Grant and/or Loan	
Oregon Watershed Enhancement Board Funds	
General Obligation Bonds	
Revenue Bonds	
Local Funds (note source of funds)	
In-Kind Assistance	
Other	
Total Funding	

23. Estimated CWSRF Loan Disbursement Schedule

Date	Amount	Date	Amount
7/1/11 - 9/30/11		7/1/13 - 9/30/13	
10/1/11 - 12/31/11		10/1/13 - 12/31/13	
1/1/12 - 3/31/12		1/1/14 - 3/31/14	
4/1/12 - 6/30/12		4/1/14 - 6/30/14	
7/1/12 - 9/30/12		7/1/14 - 9/30/14	
10/1/12 - 12/31/12		10/1/14 - 12/31/14	
1/1/13 - 3/31/13		1/1/15 - 3/31/15	
4/1/13 - 6/30/13		4/1/15 - 6/30/15	

24. Existing Sewer-Related Debt Service: (before CWSRF project funding)

	Current Balance	Interest Rate	Year Issued	Term (years)	Annual Payment	Bond Rating
General Obligation Bonds						
Sewer Revenue Bonds						
Other Debt						

25. Loan Structure: (DEQ and borrower):

- Revenue-Secured Loan - Coverage Factor and Reserved Pair
Debt Service Coverage Factor _____ Loan Reserve Percentage _____
- General Obligation (GO) Bonds
Date of voter approval _____
- Rated Revenue Bonds
- Discretionary Loan

26. Service Area Data

Total Population: _____ Population Served: _____

Number of Equivalent Dwelling Units (EDUs): _____

27. Sewer System Costs, Revenues, and Residential Rates:

Before Project: (current situation - most recent year data available)

Sewer System Expenses: \$ _____

Sewer System Revenues: _____

Residential..... \$ _____

Connections: _____ Average Monthly Rate: \$ _____

Non-Residential..... \$ _____

Connections: _____

After Project Completion (projected)

Sewer Expenses: \$ _____

Sewer System Revenues: _____

Residential..... \$ _____

Connections: _____ Average Monthly Rate: \$ _____

Non-Residential..... \$ _____

Connections: _____

Exhibits

In addition to the CWSRF Planning Loan Application, the DEQ requires three other documents to be completed. These documents must be submitted prior to receiving loan approval. They include:

- Audited Financial Statements
- Current Copy of Approved Budget
- Land Use Compatibility Statement

Additional supporting documents may be required. Consult the “**Checklist of Requirements for Planning Projects**” for a complete list of required documents. Applicants should realize many of the documents require reasonable preparation time to complete and it is strongly recommended that applicants discuss these required documents early in the application process. For further information about these documents, contact your regional DEQ Project Officer (listed on the following page).

Authorization

The public agency or applicant certifies that

- Clean Water State Revolving Fund loan proceeds will only be used for the program described in this application.
- The public agency or applicant will comply with all applicable rules and laws.
- The public agency or applicant will obtain all applicable local, state, and federal permits, approvals, and licenses, and comply with their terms and conditions.
- The undersigned is duly authorized to request this loan on behalf of the public agency.
- The public agency or applicant declares under penalty of law that all facts given and information attached are true and correct.
- The public agency or applicant authorizes DEQ to verify all information.

Authorized Signature

Date

Typed Name and Title

LGIP Account Number (for processing loan disbursements)

Return the completed application to your DEQ Project Officer:

Eastern Region	Shanna Olsen 541-278-8681 700 S.E. Emigrant, Suite 330 Pendleton, Oregon 97801
Northwest Region	Tiffany Yelton-Bram 503-229-5219 2020 S.W. 4th Avenue, Suite 400 Portland, Oregon 97201
Western Region	Jaime Isaza 541-687-7341 165 East 7th Avenue, Suite 100 Eugene, Oregon 97401
	Bob Haberman 541-687-7359 165 East 7th Avenue, Suite 100 Eugene, Oregon 97401

If you have other questions regarding the Clean Water State Revolving Fund program, please call:

Manette Simpson 503-229-5622

Kim Carlson 503-229-6312

Rick Watters 503-229-6814

Larry McAllister 503-229-6412

Fax: 503-229-6037

Clean Water State Revolving Fund (CWSRF) Loan Program

Water Quality Division

Oregon Department of Environmental Quality

811 S.W. Sixth Avenue

Portland, Oregon 97204-1390

<http://www.deq.state.or.us/wq/loans/loans.htm>

Appendix

Special Status Waterbody Categories for CWSRF Loan Program

Federally designated Wild and Scenic Rivers

- A. State Scenic Waterways
- B. Federally designated Sole Source Aquifers
- C. Lower Columbia River Estuary Partnership
- D. Tillamook Bay Estuary
- E. The subbasins of the Three Basin Rule
- F. Significant Wetlands and Riparian Areas identified and listed by local governments

A. Federally Designated Wild and Scenic Rivers

There are a number of Oregon Rivers or portions of rivers designated as Wild and Scenic. A listing of those rivers and a description of the specific, designated portions of those rivers is listed at:

<http://www.rivers.gov/>

B. State Scenic Waterways

The link below connects to Chapter 390 of the Oregon Revised Statutes and provides information related to Oregon's scenic waterways:

<http://www.leg.state.or.us/ors/390.html>

This second link provides additional information on Oregon Parks and Recreation Department's scenic waterways program:

<http://www.oregon.gov/OPRD/RULES/waterways.shtml>

C. Federally designated Sole Source Aquifers (Oregon Administrative Rule 340-071-0400(2))

Oregon has only one designated sole source aquifer—the [North Florence Dunal Aquifer](#)

D. Lower Columbia River Estuary Partnership (National Estuary Program) Additional information at the

[Lower Columbia River Estuary Partnership](#) website.

E. Tillamook Estuaries Partnership (National Estuary Program) Additional information at the [Tillamook](#)

[Bay National Estuaries Partnership](#) website. To determine if a proposed project is located within, or will substantially affect the estuary, refer to this [GIS graphic](#).

F. The Three Basin Rule: Clackamas, McKenzie (above river mile 15) and the North Santiam river subbasins

Additional information at [340-041-0350](#)

1. In order to preserve or improve the existing high quality water for municipal water supplies, recreation, and preservation of aquatic life, new or increased waste discharges must be prohibited, except as provided by this rule, to the waters of:

- (a) The Clackamas River Subbasin;
- (b) The McKenzie River Subbasin above the Hayden Bridge (river mile 15);
- (c) The North Santiam River Subbasin.

G. Significant Wetlands and Riparian Areas identified and listed by local governments

Specifically, these include:

- 1. all significant wetlands adopted through a "Local Wetlands Inventory" and
- 2. any significant riparian corridors designated for protection as defined within local comprehensive plans.

For more information, see [OAR 660-023-0000 through 660-023-0100](#)